# One-and-a-halfth-order Logic

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#### Introduction

Consider the following valid assertions in first-order logic:

- $\phi \supset (\psi \supset \phi)$
- if  $a \notin fn(\phi)$  then  $\phi \supset \forall a.\phi$
- if  $a \notin fn(\phi)$  then  $\phi \supset (\phi \llbracket a \mapsto t \rrbracket)$

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These are *not valid syntax* in first-order logic, because of *meta-level concepts*:

- meta-variables varying over syntax:  $\phi$ ,  $\psi$ , a, t
- properties of syntax:
  - freshness assumptions:  $a \not\in fn(\phi)$
  - capture-avoiding substitution:  $\phi \llbracket a \mapsto t \rrbracket$



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Is there a logic in which the above assertions are valid syntax?



#### Introduction (2)

Consider the following (sequent) derivations:

$$\frac{\overline{\phi, \psi \vdash \phi} (\mathbf{A}\mathbf{x})}{\overline{\phi} \vdash \psi \supset \phi} (\supset \mathbf{R}) \\ \overline{\vdash \phi \supset (\psi \supset \phi)} (\supset \mathbf{R})$$

$$\frac{\frac{\bot,\bot\vdash\bot}{\bot\vdash\bot\supset\bot}(\mathbf{A}\mathbf{x})}{\frac{\bot\vdash\bot\supset\bot}{\vdash\bot\supset(\bot\supset\bot)}(\supset\mathbf{R})}$$



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The left one is not a derivation, it is a *schema* of derivations.

The right one is a derivation, it is an *instance* of the schema on the left.



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The left one is not a derivation, it is a *schema* of derivations.

The right one is a derivation, it is an *instance* of the schema on the left.

Is there a logic in which the derivation on the left is a derivation too?



#### Introduction (3)

One-and-a-halfth-order logic makes meta-level concepts explicit.

The following *judgements* are valid in one-and-a-halfth-order logic:

- $P \supset (Q \supset P) = \top$
- $a\#P \to P \supset \forall [a]P = \top$
- $a \# P \to P \supset (P[a \mapsto T]) = \top$



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No meta-level concepts:

- ullet P, Q and T are unknowns, representing meta-level variables
- *a* is an *atom*, representing an object-level variable
- a#P is a freshness, representing a is fresh for P
- ullet  $P[a \mapsto T]$  is an *explicit substitution*, repr. capture-avoiding substitution



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The following (sequent) derivations are valid in one-and-a-halfth-order logic:

$$\frac{\overline{P,Q \vdash P} \, (\mathbf{A}\mathbf{x})}{P \vdash Q \supset P \, (\supset \mathbf{R})} \\ \vdash P \supset (Q \supset P) \, (\supset \mathbf{R})$$

$$\frac{\frac{\bot,\bot\vdash\bot}{\bot\vdash\bot\supset\bot}(\mathbf{A}\mathbf{x})}{\vdash\bot\supset(\bot\supset\bot)}(\supset\mathbf{R})$$



#### Introduction (5)

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The following (sequent) derivation is valid in one-and-a-halfth-order logic:

$$\frac{\overline{P} \vdash_{a\#P} \overline{P} (\mathbf{A}\mathbf{x})}{P \vdash_{a\#P} \forall [a] P} (\forall \mathbf{R}) (a\#P \vdash a\#P) \\ \vdash_{a\#P} P \supset \forall [a] P} (\supset \mathbf{R})$$

Side condition  $a\#P \vdash a\#P$ : freshness a#P is derivable from the assumption a#P.



#### Introduction (6)

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$$\frac{\frac{\overline{P} \vdash_{a\#P} \overline{P} \left( \mathbf{A} \mathbf{x} \right)}{P \vdash_{a\#P} \overline{P} \left[ a \mapsto T \right]} \left( \mathbf{StructR} \right) \quad (a\#P \vdash_{\mathsf{SUB}} P = P[a \mapsto T])}{\vdash_{a\#P} P \supset \left( P[a \mapsto T] \right)} \left( \supset \mathbf{R} \right)$$

Side condition  $a\#P \vdash_{\scriptscriptstyle{\mathsf{SUB}}} P = P[a \mapsto T]$ : equality  $P = P[a \mapsto T]$  is derivable from the assumption a#P in theory SUB.

The rule (**StructR**) lets us replace the right-hand side  $P[a \mapsto T]$  of the equality assertion by its left-hand side P.



#### Overview

- Nominal Algebra:
  - Signature, axioms and theories
  - Equational theory of one-and-a-halfth order logic
  - Equational proof system
- Sequent calculus for one-and-a-halfth-order logic
- Relation to first-order logic
- Conclusions, related and future work



#### Nominal Algebra...

- ...is a theory of algebraic equality on *nominal terms*.
- ... has built-in support for binding and freshnesses.
- ... is *first-order*, not higher-order.
- ...allows for *direct* and *natural* representation of existing systems with binding.
- ... also allows for *novel* systems like one-and-a-halfth-order logic.



#### Signature

 $\delta$  ranges over **base sorts**.

 $\mathbb{A}$  ranges over **atomic sorts**.

Sorts  $\tau$ :

$$\tau ::= \delta \mid \mathbb{A} \mid [\mathbb{A}]\tau$$

**Term-formers**  $f_{\rho}$  have an associated **arity**  $\rho = (\tau_1, \dots, \tau_n)\tau$ .  $f : \rho$  means 'f with arity  $\rho$ '.

A **signature**  $\Sigma = (D, A, F)$  where D, A and F are finite sets of base sorts, atomic sorts and term-formers.



### Signature (2)

**Atoms** a, b, c, ... have sort  $\mathbb{A}$ ; they represent *object-level* variable symbols.

**Unknowns**  $X, Y, Z, \ldots$  have sort  $\tau$ ; they represent *meta-level* variable symbols.

A **permutation**  $\pi$  of atoms is a total bijection  $\mathbb{A} \to \mathbb{A}$  with finite support:  $\pi(a) \neq a$  for a finite number of a's and  $\pi(a) = a$  for all others.

We call  $\pi \cdot X$  a moderated unknown.

This represents the permutation of atoms  $\pi$  acting on an unknown term.

**Terms** *t*, subscripts indicate sorting rules:

$$t ::= a_{\mathbb{A}} \mid (\pi \cdot X_{\tau})_{\tau} \mid [a_{\mathbb{A}}] t_{\tau} \mid (\mathsf{f}_{(\tau_{1}, \dots, \tau_{n})\tau}(t_{\tau_{1}}^{1}, \dots, t_{\tau_{n}}^{n}))_{\tau}$$



### Signature (3)

Signature for one-and-a-halfth-order logic:

- Base sorts  $\mathbb{F}$  for 'formulae' and  $\mathbb{T}$  for 'terms'; atomic sort  $\mathbb{A}$ ;
- Term-formers:
  - $\perp : ()$  F represents *falsity*;
  - $\supset : (\mathbb{F}, \mathbb{F})\mathbb{F}$  represents implication, write  $\phi \supset \psi$  for  $\supset (\phi, \psi)$ ;
  - $\ \forall : ([\mathbb{A}]\mathbb{F})\mathbb{F}$  represents universal quantification, write  $\forall [a]\phi$  for  $\forall ([a]\phi)$ ;
  - $-\approx: (\mathbb{T},\mathbb{T})\mathbb{F}$  represents object-level equality, write  $t\approx u$  for  $\approx(t,u)$ ;
  - var : (A)T is *variable casting*, forced upon us by the sort system;
  - sub :  $([\mathbb{A}]\tau, \mathbb{T})\tau$ , where  $\tau \in \{\mathbb{F}, \mathbb{T}, [\mathbb{A}]\mathbb{F}\}$ , is *explicit substitution*, write  $t[a \mapsto u]$  for sub([a]t, u);
  - $-p_1, \ldots, p_n : (\mathbb{T}, \ldots, \mathbb{T})\mathbb{F}$  are object-level predicate term-formers;
  - $-f_1,\ldots,f_m:(\mathbb{T},\ldots,\mathbb{T})\mathbb{T}$  are object-level term-formers.



### Signature (4)

Sugar:

Descending order of operator precedence:

$$[\_ \mapsto \_], \approx, \{\neg, \forall, \exists\}, \{\land, \lor\}, \supset, \Leftrightarrow$$

 $\land$ ,  $\lor$  and  $\supset$  associate to the right.



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Example terms of sort  $\mathbb{F}$ :

$$P \supset Q \supset P$$
  $P \supset \forall [a]P$   $P \supset P[a \mapsto T]$ 

P,Q are unknowns of sort  $\mathbb{F}$ , T is an unknown of sort  $\mathbb{T}$ , a is an atom of sort  $\mathbb{A}$ .



#### Assertions and judgements

**Freshness (assertions)** a#t, which means 'a is fresh for t. If t is an unknown X, the freshness is called **primitive**.

**Equality (assertions)** t = u, where t and u are of the same sort.

Write  $\Delta$  for a set of *primitive* freshnesses and call it a **freshness context**. We may leave out set brackets, writing a#X,b#Y instead of  $\{a\#X,b\#Y\}$ .

We call  $\Delta \to A$  a **judgement** where A is an assertion (a # t or t = u). We may leave out  $\Delta \to \text{if } \Delta$  is empty ( $\emptyset$ ).



### Assertions and judgements (2)

Example equality judgements:

$$ullet$$
  $\emptyset \to P \supset Q \supset P = \top$ , or just  $P \supset Q \supset P = \top$ 

• 
$$\{a\#P\} \to P \supset \forall [a]P = \top$$
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• 
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When are these valid?



#### Axioms and theories

We allow equality judgements  $\Delta \to t = u$  with finite  $\Delta$  as **axioms**.

A theory  $T = (\Sigma, Ax)$  where:

- $\Sigma$  is a signature;
- Ax is a possibly infinite set of axioms.



#### Axioms and theories (2)

- $\bullet$  CORE: a theory of  $\alpha$ -conversion
- SUB: a theory of explicit substitution
- FOL: a theory of one-and-a-halfth-order logic (watch the name)

#### Relation between the theories:

- Signature is the same (previously introduced)
- Axioms of smaller theories are contained in bigger ones according to the following relation:

$$CORE \subset SUB \subset FOL$$



## Axioms and theories (3)

Axioms of CORE: none!



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Axioms of SUB:

$$\begin{array}{ll} (\mathbf{f} \mapsto) & \mathsf{f}(X_1, \dots, X_n)[a \mapsto T] = \mathsf{f}(X_1[a \mapsto T], \dots, X_n[a \mapsto T]) \\ (\mathbf{abs} \mapsto) & b\#T \to ([b]X)[a \mapsto T] = [b](X[a \mapsto T]) \\ (\mathbf{var} \mapsto) & \mathsf{var}(a)[a \mapsto T] = T \\ (\# \mapsto) & a\#X \to X[a \mapsto T] = X \\ (\mathbf{ren} \mapsto) & b\#X \to X[a \mapsto \mathsf{var}(b)] = (b\ a) \cdot X \end{array}$$

f ranges over all term-formers excluding var, but including sub. a and b are distinct atoms.

T is an unknown of sort  $\mathbb{T}$ ,  $X, X_1, \ldots, X_n$  are unknowns of appropriate sorts.

Note that this is a *finite* number of axioms.



#### Axioms and theories (4)

Axioms of FOL: axioms of SUB extended with

$$P\supset Q\supset P=\top \quad \neg\neg P\supset P=\top \qquad \text{(Props)}$$
 
$$(P\supset Q)\supset (Q\supset R)\supset (P\supset R)=\top \quad \bot\supset P=\top \qquad \qquad \forall [a]P\supset P[a\mapsto T]=\top \qquad \qquad \text{(Quants)}$$
 
$$\forall [a](P\land Q)\Leftrightarrow \forall [a]P\land \forall [a]Q=\top \qquad \qquad a\#P \rightarrow \forall [a](P\supset Q)\Leftrightarrow P\supset \forall [a]Q=\top \qquad \qquad T\approx T=\top \qquad U\approx T\land P[a\mapsto T]\supset P[a\mapsto U]=\top \qquad \text{(Eq)}$$

T, U are unknowns of sort  $\mathbb{T}$ , P, Q, R are unknowns of sort  $\mathbb{F}$ . Axioms are all of the form  $\phi = \top$ , which intuitively means ' $\phi$  is true'.

Note that this is a *finite* number of axioms.



### Validity in theory FOL

Example equality judgements:

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Semantics of Nominal Algebra: not treated here.

Sound and complete *proof system* for Nominal Algebra: treated here.



#### Derivability of freshnesses

$$\overline{a\#b} (\#\mathbf{ab}) \quad \frac{a\#t_1 \cdots a\#t_n}{a\#\mathbf{f}(t_1, \dots, t_n)} (\#\mathbf{f}) \quad \frac{\pi^{-1}(a)\#X}{a\#\pi \cdot X} (\#\mathbf{X})$$

$$\overline{a\#[a]t} (\#[]\mathbf{a}) \quad \frac{a\#t}{a\#[b]t} (\#[]\mathbf{b})$$

a and b range over distinct atoms.

Write  $\Delta \vdash a \# t$  when there exists a derivation of a # t using the elements of  $\Delta$  as assumptions. Say that a # t is derivable from  $\Delta$ .

A freshness judgement  $\Delta \to a \# t$  is derivable when  $\Delta \vdash a \# t$ .



#### Derivability of equalities

$$\frac{t=u}{t=t} \text{ (refl)} \quad \frac{t=u}{u=t} \text{ (symm)} \quad \frac{t=u}{t=v} \text{ (tran)}$$

$$\frac{t=u}{C[t]=C[u]} \text{ (cong)} \quad \frac{a\#t}{(a\ b)\cdot t=t} \text{ (perm)}$$

$$\frac{\Delta^{\pi}\sigma}{t^{\pi}\sigma=u^{\pi}\sigma} \text{ (ax_A)} \ A \equiv \Delta \rightarrow t=u$$

$$\vdots$$

$$\frac{t=u}{t=u} \text{ (fr)} \quad (a\not\in t,u,\Delta)$$

Here A is an axiom, and we call  $C[\_]$  a **context**.

Write  $\Delta \vdash_{\tau} t = u$  when t = u is derivable from  $\Delta$  using axioms from T only.

 $\Delta \to t = u$  is derivable in theory T when  $\Delta \vdash_{\mathsf{T}} t = u$ .



#### Derivability of equalities (2)

Write  $\equiv$  for syntactic identity.

Define **permutation actions** on terms  $\pi \cdot t$ ,  $t^{\pi}$ :

$$\pi \cdot a \equiv \pi(a) \qquad \pi \cdot (\pi' \cdot X) \equiv (\pi \circ \pi') \cdot X$$

$$\pi \cdot [a]t \equiv [\pi(a)](\pi \cdot t) \qquad \pi \cdot \mathsf{f}(t_1, \dots, t_n) \equiv \mathsf{f}(\pi \cdot t_1, \dots, \pi \cdot t_n)$$

$$a^{\pi} \equiv \pi(a) \qquad (\pi' \cdot X)^{\pi} \equiv (\pi \circ \pi' \circ \pi^{-1}) \cdot X$$

$$([a]t)^{\pi} \equiv [\pi(a)](t^{\pi}) \qquad \mathsf{f}(t_1, \dots, t_n)^{\pi} \equiv \mathsf{f}(t_1^{\pi}, \dots, t_n^{\pi})$$

A **substitution**  $\sigma$  is an assignment of unknowns to terms of the same sort. Define a **substitution action** on terms  $t\sigma$ :

$$a\sigma \equiv a \qquad (\pi \cdot X)\sigma \equiv \pi \cdot \sigma(X)$$
  
 $([a]t)\sigma \equiv [a]t\sigma \qquad \mathsf{f}(t_1, \dots, t_n)\sigma \equiv \mathsf{f}(t_1\sigma, \dots, t_n\sigma)$ 



### Derivability of equalities (3)

Derivable equality judgements in FOL:

- $P \supset Q \supset P = \top$ , i.e.  $\vdash_{FOI} P \supset Q \supset P = \top$ .
- $\bullet \ a\#P \to P \supset \forall [a]P = \top$ , i.e.  $a\#P \vdash_{\mathsf{FOI}} P \supset \forall [a]P = \top$
- $a\#P \to P \supset P[a \mapsto T] = \top$ , i.e.  $a\#P \vdash_{\mathsf{FOL}} P \supset P[a \mapsto T] = \top$



### Derivability of equalities (3)

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$$ullet$$
  $a\#P o P\supset P[a\mapsto T]= op$ , i.e.  $a\#P\vdash_{ extsf{FOL}}P\supset P[a\mapsto T]= op$ 

This concludes the treatment of the *equational* proof system for FOL. Let's have a look at a *sequent calculus* for FOL.



#### A sequent calculus for FOL

Sequent calculi are often more effective in proving assertions than equational proof systems.

We may call terms of sort  $\mathbb{F}$  formulae, and denote them by  $\phi$  and  $\psi$ .

Let **(formula) contexts**  $\Phi$ ,  $\Psi$  be finite sets of formulae.

We may write  $\phi$  for  $\{\phi\}$ ,  $\phi$ ,  $\Phi$  for  $\{\phi\} \cup \Phi$ , and  $\Phi$ ,  $\Phi'$  for  $\Phi \cup \Phi'$ .

A **sequent** is a triple  $\Phi \vdash_{\Lambda} \Psi$ .

We may omit empty formula contexts, e.g. writing  $\vdash_{\wedge}$  for  $\emptyset \vdash_{\wedge} \emptyset$ .

Define derivability on sequents...



#### A sequent calculus for FOL (2)

Rules resembling Gentzen's sequent calculus for first-order logic:

$$\frac{\overline{\phi}, \overline{\Phi} \vdash_{\Delta} \Psi, \overline{\phi} (\mathbf{A}\mathbf{x})}{\overline{\phi}, \overline{\Phi} \vdash_{\Delta} \Psi, \overline{\phi} \vdash_{\Delta} \Psi} (\mathbf{\Delta}\mathbf{L}) \qquad \frac{\overline{\Phi} \vdash_{\Delta} \Psi, \overline{\phi} \psi, \overline{\Phi} \vdash_{\Delta} \Psi}{\overline{\Phi} \vdash_{\Delta} \Psi, \overline{\phi} \supset \psi} (\mathbf{D}\mathbf{R})$$

$$\frac{\overline{\phi}[a \mapsto t], \overline{\Phi} \vdash_{\Delta} \Psi}{\forall [a]\phi, \overline{\Phi} \vdash_{\Delta} \Psi} (\forall \mathbf{L}) \qquad \frac{\overline{\Phi} \vdash_{\Delta} \Psi, \psi}{\overline{\Phi} \vdash_{\Delta} \Psi, \forall [a]\psi} (\forall \mathbf{R}) \quad (\Delta \vdash a \# \Phi, \Psi)$$

$$\frac{\phi[a \mapsto t'], \overline{\Phi} \vdash_{\Delta} \Psi}{t' \approx t, \phi[a \mapsto t], \overline{\Phi} \vdash_{\Delta} \Psi} (\approx \mathbf{L}) \qquad \overline{\overline{\Phi} \vdash_{\Delta} \Psi, t \approx t} (\approx \mathbf{R})$$

These are *schemas*: a ranges over atoms, t, t' ranges over terms of sort  $\mathbb{T}$ ,  $\phi, \psi$  range over formulae, and  $\Phi, \Psi$  range over formula contexts.



# A sequent calculus for FOL (3)

Other rules:

$$\begin{split} &\frac{\phi',\,\Phi \vdash_{\scriptscriptstyle{\Delta}} \Psi}{\phi,\,\Phi \vdash_{\scriptscriptstyle{\Delta}} \Psi} (\mathbf{StructL}) \quad (\Delta \vdash_{\scriptscriptstyle{\mathsf{SUB}}} \phi' = \phi) \\ &\frac{\Phi \vdash_{\scriptscriptstyle{\Delta}} \Psi,\,\psi'}{\Phi \vdash_{\scriptscriptstyle{\Delta}} \Psi,\,\psi'} (\mathbf{StructR}) \quad (\Delta \vdash_{\scriptscriptstyle{\mathsf{SUB}}} \psi' = \psi) \\ &\frac{\Phi \vdash_{\scriptscriptstyle{\Delta} \cup \{a \neq X_1,\dots,X_n\}} \Psi}{\Phi \vdash_{\scriptscriptstyle{\Delta}} \Psi} (\mathbf{Fresh}) \quad (a \not\in \Phi,\Psi,\Delta) \\ &\frac{\Phi \vdash_{\scriptscriptstyle{\Delta}} \Psi,\,\phi \quad \phi',\,\Phi \vdash_{\scriptscriptstyle{\Delta}} \Psi}{\Phi \vdash_{\scriptscriptstyle{\Delta}} \Psi} (\mathbf{Cut}) \quad (\Delta \vdash_{\scriptscriptstyle{\mathsf{SUB}}} \phi = \phi') \end{split}$$



### Properties of the sequent calculus

For  $\Phi \equiv \{\phi_1, \dots, \phi_n\}$ , define its **conjunctive form**  $\Phi^{\wedge}$  to be  $\phi_1 \wedge \dots \wedge \phi_n$  when n > 0, and  $\top$  when n = 0. Analogously, define the **disjunctive form**  $\Phi^{\vee}$  to be  $\phi_1 \vee \dots \vee \phi_n$  when n > 0, and  $\bot$  when n = 0.



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**Theorem** I For all FOL contexts  $\Phi$ ,  $\Psi$  and freshness contexts  $\Delta$ :

$$\Phi \vdash_{\wedge} \Psi \text{ is derivable} \quad \text{iff} \quad \Delta \vdash_{\text{FOI}} \Phi^{\wedge} \supset \Psi^{\vee} = \top.$$

So equational and sequent derivability are equivalent.



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So equational and sequent derivability are equivalent.

**Theorem 2** If  $\Pi$  is a derivation of  $\Phi \vdash_{\Delta} \Psi$  and  $\Delta' \vdash \Delta^{\pi} \sigma$ , then there exists a derivation  $\Pi'$  of  $\Phi^{\pi} \sigma \vdash_{\Delta'} \Psi^{\pi} \sigma$ , which is  $\Pi$  in which atoms are *permuted*, unknowns are *instantiated*, and freshness contexts are *replaced*.



# Properties of the sequent calculus (2)

**Theorem 3** [Cut elimination] The (**Cut**) rule is admissible in the system without it.



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**Corollary 4** The sequent calculus and the equational proof systems for FOL are both **consistent**, i.e. for any freshness context  $\Delta$ :

- $\vdash_{\land}$  cannot be derived;
- $\Delta \to \top = \bot$  cannot be derived in FOL.



# Relation to First-order Logic

Call a term **ground** if it does not contain unknowns or explicit substitutions. From now on we only consider terms and formula contexts on ground terms.

A first-order sequent is a pair  $\Phi \vdash \Psi$ .

Genzten's sequent calculus for first-order logic:

$$\frac{\overline{\phi}, \ \Phi \vdash \Psi, \ \overline{\phi} \ (\mathbf{A}\mathbf{x})}{\overline{\phi}, \ \Phi \vdash \Psi, \ \overline{\phi} \ \psi, \ \Phi \vdash \Psi} \ (\supset \mathbf{L}) \qquad \frac{\overline{\phi}, \ \Phi \vdash \Psi, \ \psi}{\overline{\Phi} \vdash \Psi, \ \overline{\phi} \supset \psi} \ (\supset \mathbf{R})$$

$$\frac{\phi \llbracket a \mapsto t \rrbracket, \ \Phi \vdash \Psi}{\forall a.\phi, \ \Phi \vdash \Psi} \ (\forall \mathbf{L}) \qquad \frac{\Phi \vdash \Psi, \ \phi}{\overline{\Phi} \vdash \Psi, \ \forall a.\phi} \ (\forall \mathbf{R}) \quad (a \not\in fn(\Phi, \Psi))$$

$$\frac{\phi \llbracket a \mapsto t' \rrbracket, \ \Phi \vdash \Psi}{t' \approx t, \ \phi \llbracket a \mapsto t \rrbracket, \ \Phi \vdash \Psi} \ (\approx \mathbf{L}) \qquad \overline{\Phi \vdash \Psi, \ t \approx t} \ (\approx \mathbf{R})$$



# Relation to First-order Logic (2)

#### Note that:

- We write  $\forall a. \phi$  for  $\forall [a] \phi$ .
- $[a \mapsto t]$  is capture-avoiding substitution.
- $a \not\in fn(\phi)$  is 'a does not occur in the free names of  $\phi$ '.
- We take formulae up to  $\alpha$ -equivalence, e.g. suppose  $\mathsf{p}:(\mathbb{T})\mathbb{F}$  is an atomic predicate term-former, then  $\forall a.\mathsf{p}(a) \vdash \forall b.\mathsf{p}(b)$  follows directly by  $(\mathbf{A}\mathbf{x})$  since  $\forall a.\mathsf{p}(a) =_{\alpha} \forall b.\mathsf{p}(b)$ .



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**Theorem 5**  $\Phi \vdash \Psi$  is derivable in the sequent calculus for first-order logic, if and only if  $\Phi \vdash_{\scriptscriptstyle{\emptyset}} \Psi$  is derivable in the sequent calculus for FOL.

So on ground terms, one-and-a-halfth-order logic is first-order logic.



### **Conclusions**

### Nominal algebra:

- is a system in which we can *accurately* represent systems with binding: e.g. explicit substitution and first-order logic;
- allows for *novel* systems with their own mathematical interest: e.g. one-and-a-halfth-order logic.

### One-and-a-halfth-order logic:

- is the *result* of axiomatising first-order logic in Nominal algebra;
- makes meta-level concepts of first-order logic *explicit*;
- has a *finite* equational axiomatisation;
- has a sequent calculus with *syntax-directed* rules;
- has a *semantics* in first-order logic on ground terms.



### Related work

### Second-order logic:

- In this logic we can quantify over predicates *anywhere*, which makes it more expressive than one-and-a-halfh-order logic.
- Theory FOL does have a second-order flavour. It can easily be extended with one axiom that expresses the principle of induction on natural numbers:

$$P[a \mapsto 0] \land \forall [a](P \supset P[a \mapsto succ(\mathsf{var}(a))]) \supset \forall [a]P = \top.$$

### Higher-order logic (HOL):

- is type raising, while one-and-a-halfth-order logic is *not*:  $P[a \mapsto t]$  corresponds to f(t) in HOL, where  $f: \mathbb{T} \to \mathbb{F}$ ;  $P[a \mapsto t][a' \mapsto t']$  corresponds to f'(t)(t') where  $f': \mathbb{T} \to \mathbb{T} \to \mathbb{F}$ , and so on...
- One-and-a-halfth-order logic is not a subset of HOL because of freshnesses.



### **Future work**

- Concrete semantics for one-and-a-halfth-order logic on non-ground terms.
- Two-and-a-halfth-order logic (where you can abstract X)?
- Implementation and automation?



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### **Current status**

- M.J. Gabbay, A.H.J. Mathijssen, Nominal Algebra, submitted CSL'06.
- M.J. Gabbay, A.H.J. Mathijssen, Capture-avoiding Substitution as a Nominal Algebra, submitted ICTAC'06.
- M.J. Gabbay, A.H.J. Mathijssen, One-and-a-halfth-order Logic, submitted PPDP'06.

### Just to scare you

$$\frac{P[b\mapsto \mathsf{var}(c)][a\mapsto \mathsf{var}(c)]\vdash_{c\#P} P[b\mapsto \mathsf{var}(c)][a\mapsto \mathsf{var}(c)]}{\forall [a](P[b\mapsto \mathsf{var}(c)])\vdash_{c\#P} P[b\mapsto \mathsf{var}(c)][a\mapsto \mathsf{var}(c)]} \underbrace{(\forall \mathbf{L})}_{(\forall [a]P)[b\mapsto \mathsf{var}(c)]} \vdash_{c\#P} P[b\mapsto \mathsf{var}(a)][a\mapsto \mathsf{var}(c)]}_{(\forall [a]P)[a]P\vdash_{c\#P} P[b\mapsto \mathsf{var}(c)][a\mapsto \mathsf{var}(c)]} \underbrace{(\forall \mathbf{L})}_{(\forall \mathbf{L})} \underbrace{\forall [b]\forall [a]P\vdash_{c\#P} P[b\mapsto \mathsf{var}(c)][a\mapsto \mathsf{var}(c)]}_{\forall [b]\forall [a]P\vdash_{c\#P} \forall [c](P[b\mapsto \mathsf{var}(c)][a\mapsto \mathsf{var}(c)])} \underbrace{(\forall \mathbf{R})}_{(\mathbf{StructR})} \underbrace{(\mathbf{StructR})}_{\forall [b]\forall [a]P\vdash_{c\#P} \forall [a](P[b\mapsto \mathsf{var}(a)])} \underbrace{(\mathbf{Fresh})}_{(\mathbf{4}.)} \underbrace{(\mathbf{4}.)}$$

#### Side-conditions:

$$\text{i. } c\#P \vdash_{\text{\tiny SUB}} \forall [a](P[b \mapsto \mathsf{var}(c)]) = (\forall [a]P)[b \mapsto \mathsf{var}(c)]$$

2. 
$$c\#P \vdash c\#\forall [b]\forall [a]P$$

$$\text{3. } c\#P \vdash_{\scriptscriptstyle{\mathsf{SUB}}} \forall [c](P[b \mapsto \mathsf{var}(c)][a \mapsto \mathsf{var}(c)]) = \forall [a](P[b \mapsto \mathsf{var}(a)])$$

4. 
$$c \notin \forall [b] \forall [a] P, \forall [a] (P[b \mapsto \mathsf{var}(a)])$$